Computability theory, reverse mathematics, and Hindman's Theorem

Denis R. Hirschfeldt

University of Chicago

HT is a Π_2^1 principle, of the form

 $\forall X [\Phi(X) \rightarrow \exists Y \Psi(X, Y)]$

with Φ and Ψ arithmetic.

HT is a Π_2^1 principle, of the form

 $\forall X [\Phi(X) \rightarrow \exists Y \Psi(X, Y)]$

with Φ and Ψ arithmetic.

We can think of such a principle as a problem.

An **instance** of such a problem is an X s.t. $\Phi(X)$ holds.

A **solution** to this instance is a Y s.t. $\Psi(X, Y)$ holds.

HT is a Π_2^1 principle, of the form

 $\forall X [\Phi(X) \rightarrow \exists Y \Psi(X, Y)]$

with Φ and Ψ arithmetic.

We can think of such a principle as a problem.

An **instance** of such a problem is an X s.t. $\Phi(X)$ holds.

A **solution** to this instance is a Y s.t. $\Psi(X, Y)$ holds.

This is a natural context for computability-theoretic analysis.

RCA₀ is the usual weak base system of reverse mathematics, corresponding roughly to computable mathematics.

All implications below are over RCA₀.

RCA₀ is the usual weak base system of reverse mathematics, corresponding roughly to computable mathematics.

All implications below are over RCA₀.

ACA₀ corresponds roughly to arithmetic mathematics.

ACA₀ proves that for every X, the jump X' exists, and hence that so does each finite iterate $X^{(n)}$.

RCA₀ is the usual weak base system of reverse mathematics, corresponding roughly to computable mathematics.

All implications below are over RCA₀.

ACA₀ corresponds roughly to arithmetic mathematics.

ACA₀ proves that for every X, the jump X' exists, and hence that so does each finite iterate $X^{(n)}$.

ACA⁺ adds to ACA₀ that for every X, the ω^{th} jump $X^{(\omega)}$ exists.

Thm (Blass, Hirst, and Simpson).

1. Every computable instance of HT has an $\emptyset^{(\omega+1)}$ -computable solution.

- 2. There is a computable instance of HT all of whose solutions compute \emptyset' .
- 3. $ACA_0^+ \rightarrow HT$.
- $\text{4. HT} \rightarrow \text{ACA}_{0}.$

Thm (Blass, Hirst, and Simpson).

1. Every computable instance of HT has an $\emptyset^{(\omega+1)}$ -computable solution.

- 2. There is a computable instance of HT all of whose solutions compute \emptyset' .
- 3. $ACA_0^+ \rightarrow HT$.
- $\text{4. HT} \rightarrow \text{ACA}_{0}.$

Open Question. Does HT hold arithmetically? Does $ACA_0 \rightarrow HT$?

The results of Blass, Hirst, and Simpson also hold for IHT.

The results of Blass, Hirst, and Simpson also hold for IHT.

One way to prove (I)HT is to use idempotent ultrafilters.

The results of Blass, Hirst, and Simpson also hold for IHT.

One way to prove (I)HT is to use idempotent ultrafilters.

Let $A - k = \{n : n + k \in A\}.$

The set of ultrafilters on $\mathbb N$ is a semigroup under the operation

$$\mathcal{U} \oplus \mathcal{V} = \{A : \{k : A - k \in \mathcal{U}\} \in \mathcal{V}\}.$$

 \mathcal{U} is idempotent if $\mathcal{U} \oplus \mathcal{U} = \mathcal{U}$.

The results of Blass, Hirst, and Simpson also hold for IHT.

One way to prove (I)HT is to use idempotent ultrafilters.

Let $A - k = \{n : n + k \in A\}$.

The set of ultrafilters on $\mathbb N$ is a semigroup under the operation

$$\mathcal{U} \oplus \mathcal{V} = \{A : \{k : A - k \in \mathcal{U}\} \in \mathcal{V}\}.$$

 \mathcal{U} is idempotent if $\mathcal{U} \oplus \mathcal{U} = \mathcal{U}$.

Hirst showed that IHT is equivalent to the existence of certain countable approximations to idempotent ultrafilters.

They showed that ACA_0 plus the existence of an idempotent ultrafilter implies IHT.

They showed that ACA_0 plus the existence of an idempotent ultrafilter implies IHT.

They also showed that the existence of an idempotent ultrafilter is conservative over $ACA_0 + IHT$, ACA_0^+ , and several other systems.

They showed that ACA_0 plus the existence of an idempotent ultrafilter implies IHT.

They also showed that the existence of an idempotent ultrafilter is conservative over $ACA_0 + IHT$, ACA_0^+ , and several other systems.

Kreuzer also showed the Π_2^1 -conservativity of the existence of an idempotent ultrafilter over ACA₀ + IHT and ACA₀⁺ by working in higher-order reverse mathematics.

They showed that ACA_0 plus the existence of an idempotent ultrafilter implies IHT.

They also showed that the existence of an idempotent ultrafilter is conservative over ACA₀ + IHT, ACA₀⁺, and several other systems.

Kreuzer also showed the Π_2^1 -conservativity of the existence of an idempotent ultrafilter over ACA₀ + IHT and ACA₀⁺ by working in higher-order reverse mathematics.

Open Question. Is the existence of an idempotent ultrafilter conservative over ACA₀?

HT^{\leq n} is HT for sums of at most *n* many elements.

HT \leq **n** is HT for sums of at most *n* many elements.

HT^{≤2} "should be" simpler to prove than HT, but:

 $HT^{\leq n}$ is HT for sums of at most *n* many elements.

 $HT^{\leq 2}$ "should be" simpler to prove than HT, but:

Open Question (Hindman, Leader, and Strauss). Is there a proof of $HT^{\leq 2}$ that is not a proof of HT?

HT^{\leq n} is HT for sums of at most *n* many elements.

 $HT^{\leq 2}$ "should be" simpler to prove than HT, but:

Open Question (Hindman, Leader, and Strauss). Is there a proof of $HT^{\leq 2}$ that is not a proof of HT? Does $HT^{\leq 2} \rightarrow HT$?

HT^{≤n} is HT for sums of at most *n* many elements.

 $HT^{\leq 2}$ "should be" simpler to prove than HT, but:

Open Question (Hindman, Leader, and Strauss). Is there a proof of $HT^{\leq 2}$ that is not a proof of HT? Does $HT^{\leq 2} \rightarrow HT$?

Thm (Carlucci, Kołodzieczyk, Lepore, and Zdanowski). $\text{HT}^{\leqslant 2} \to ACA_0.$

$HT^{=n}$ is HT for sums of exactly *n* many elements.

 $HT^{=n}$ is HT for sums of exactly *n* many elements.

 $[X]^n$ is the set of *n*-element subsets of *X*.

RTⁿ: For every coloring of $[\mathbb{N}]^n$ with finitely many colors, there is an infinite $H \subseteq \mathbb{N}$ s.t. every element of $[H]^n$ has the same color.

It is easy to see that $RT^n \to HT^{=n}$.

HT⁼ⁿ is HT for sums of exactly *n* many elements.

 $[X]^n$ is the set of *n*-element subsets of *X*.

RTⁿ: For every coloring of $[\mathbb{N}]^n$ with finitely many colors, there is an infinite $H \subseteq \mathbb{N}$ s.t. every element of $[H]^n$ has the same color.

It is easy to see that $RT^n \to HT^{=n}$.

Thm (Seetapun). $RT^2 \rightarrow ACA_0$.

So $HT^{=2}$ is strictly weaker than ACA_0 .

HT⁼ⁿ is HT for sums of exactly *n* many elements.

 $[X]^n$ is the set of *n*-element subsets of *X*.

RTⁿ: For every coloring of $[\mathbb{N}]^n$ with finitely many colors, there is an infinite $H \subseteq \mathbb{N}$ s.t. every element of $[H]^n$ has the same color.

It is easy to see that $RT^n \rightarrow HT^{=n}$.

Thm (Seetapun). $RT^2 \rightarrow ACA_0$.

So $HT^{=2}$ is strictly weaker than ACA_0 .

Question (Dzhafarov, Jockusch, Solomon, and Westrick). Is $HT^{=2}$ computably true? Is it provable in RCA_0 ?

Building a computable instance $c : \mathbb{N} \to 2$ of $HT^{=2}$ with no computable solution:

Building a computable instance $c : \mathbb{N} \to 2$ of $HT^{=2}$ with no computable solution:

Let $X + s = \{k + s : k \in X\}$ and let W_i be the *i*th c.e. set.

Building a computable instance $c : \mathbb{N} \to 2$ of $HT^{=2}$ with no computable solution:

Let $X + s = \{k + s : k \in X\}$ and let W_i be the *i*th c.e. set.

Wait for a sufficiently large finite $F_i \subseteq W_i$.

Ensure that $F_i + s$ is not monochromatic for all sufficiently large s.

Building a computable instance $c : \mathbb{N} \to 2$ of $HT^{=2}$ with no computable solution:

Let $X + s = \{k + s : k \in X\}$ and let W_i be the *i*th c.e. set.

Wait for a sufficiently large finite $F_i \subseteq W_i$.

Ensure that $F_i + s$ is not monochromatic for all sufficiently large s.

Problem: interactions between the strategies for different i's.

Think of the c(n)'s as mutually independent random variables, with values 0 and 1 each having probability $\frac{1}{2}$.

Think of the c(n)'s as mutually independent random variables, with values 0 and 1 each having probability $\frac{1}{2}$.

If F_i is large then the event that $F_i + s$ is monochromatic for c has low probability.

Think of the c(n)'s as mutually independent random variables, with values 0 and 1 each having probability $\frac{1}{2}$.

If F_i is large then the event that $F_i + s$ is monochromatic for c has low probability.

These events for $F_i + s$ and $F_j + t$ are independent when s and t are sufficiently far apart.

Think of the c(n)'s as mutually independent random variables, with values 0 and 1 each having probability $\frac{1}{2}$.

If F_i is large then the event that $F_i + s$ is monochromatic for c has low probability.

These events for $F_i + s$ and $F_j + t$ are independent when s and t are sufficiently far apart.

So we need to know that when events with "sufficiently small" probability are "sufficiently independent" then it is possible to avoid them all

Think of the c(n)'s as mutually independent random variables, with values 0 and 1 each having probability $\frac{1}{2}$.

If F_i is large then the event that $F_i + s$ is monochromatic for c has low probability.

These events for $F_i + s$ and $F_j + t$ are independent when s and t are sufficiently far apart.

So we need to know that when events with "sufficiently small" probability are "sufficiently independent" then it is possible to avoid them all *effectively*.

To do this, we use the **Computable Lovász Local Lemma** of **Rumyantsev and Shen**, in the form of the following corollary:

To do this, we use the **Computable Lovász Local Lemma** of **Rumyantsev and Shen**, in the form of the following corollary:

For each $q \in (0, 1)$ there is an *M* s.t. the following holds.

Let E_0, E_1, \ldots be a computable sequence of finite sets, each of size at least M.

Suppose that for each $m \ge M$ and n, there are at most 2^{qm} many i s.t. $|E_i| = m$ and $n \in E_i$, and that we can compute the set of all such i given m and n.

Then there is a computable $c : \mathbb{N} \to 2$ s.t. for each *i* the set E_i is not monochromatic for c.

Building a computable instance $c : \mathbb{N} \to 2$ of $HT^{=2}$ with no computable solution:

Wait for a sufficiently large finite $F_i \subseteq W_i$.

Use the computable LLL to ensure that $F_i + s$ is not monochromatic for all sufficiently large s.

Building a computable instance $c : \mathbb{N} \to 2$ of HT⁼² with no computable solution:

Wait for a sufficiently large finite $F_i \subseteq W_i$.

Use the computable LLL to ensure that $F_i + s$ is not monochromatic for all sufficiently large *s*.

We can work with $W_i^{\emptyset'}$ instead, to obtain a *c* with to Σ_2^0 solution.

Building a computable instance $c : \mathbb{N} \to 2$ of $HT^{=2}$ with no computable solution:

Wait for a sufficiently large finite $F_i \subseteq W_i$.

Use the computable LLL to ensure that $F_i + s$ is not monochromatic for all sufficiently large *s*.

We can work with $W_i^{\emptyset'}$ instead, to obtain a *c* with to Σ_2^0 solution.

The sizes of the F_i can be computably bounded, so we can also ensure that solutions to c are effectively immune relative to \emptyset' .

Building a computable instance $c : \mathbb{N} \to 2$ of $HT^{=2}$ with no computable solution:

Wait for a sufficiently large finite $F_i \subseteq W_i$.

Use the computable LLL to ensure that $F_i + s$ is not monochromatic for all sufficiently large *s*.

We can work with $W_i^{\emptyset'}$ instead, to obtain a *c* with to Σ_2^0 solution.

The sizes of the F_i can be computably bounded, so we can also ensure that solutions to c are effectively immune relative to \emptyset' .

Thm (Csima, Dzhafarov, Hirschfeldt, Jockusch, Solomon, and Westrick). There is a computable instance of $HT^{=2}$ s.t. every solution is diagonally noncomputable (DNC) relative to \emptyset' .

RRT₂²: If $c : [\mathbb{N}]^2 \to \mathbb{N}$ is s.t. $|c^{-1}(i)| \leq 2$ for all *i*, there there is an infinite $R \subseteq \mathbb{N}$ s.t. *c* is injective on $[R]^2$.

Thm (J. Miller). $RRT_2^2 \leftrightarrow 2\text{-DNC}$.

RRT₂²: If $c : [\mathbb{N}]^2 \to \mathbb{N}$ is s.t. $|c^{-1}(i)| \leq 2$ for all *i*, there there is an infinite $R \subseteq \mathbb{N}$ s.t. *c* is injective on $[R]^2$.

Thm (J. Miller). $\mathbb{RRT}_2^2 \leftrightarrow 2\text{-DNC}$.

Thm (Csima, Dzhafarov, Hirschfeldt, Jockusch, Solomon, and Westrick). $\text{HT}^{=2} \to 2\text{-}\text{DNC}.$

RRT₂²: If $c : [\mathbb{N}]^2 \to \mathbb{N}$ is s.t. $|c^{-1}(i)| \leq 2$ for all *i*, there there is an infinite $R \subseteq \mathbb{N}$ s.t. *c* is injective on $[R]^2$.

Thm (J. Miller). $\mathbb{RRT}_2^2 \leftrightarrow 2\text{-DNC}$.

Thm (Csima, Dzhafarov, Hirschfeldt, Jockusch, Solomon, and Westrick). $\text{HT}^{=2} \to 2\text{-}\text{DNC}.$

Open Question. Does 2-DNC \rightarrow HT⁼²?

Open Question. Does $HT^{=2} \rightarrow RT^{2}$?

However, every instance of HT⁼² does have such a solution:

However, every instance of $HT^{=2}$ does have such a solution:

 $HT^{=2}(n)$: every instance of $HT^{=2}$ has a solution containing $n_{\rm H}$

However, every instance of $HT^{=2}$ does have such a solution:

 $HT^{=2}(n)$: every instance of $HT^{=2}$ has a solution containing *n*.

 $HT^{=2}(0)$ is basically $HT^{\leq 2}$.

However, every instance of $HT^{=2}$ does have such a solution:

 $HT^{=2}(n)$: every instance of $HT^{=2}$ has a solution containing *n*.

 $HT^{=2}(0)$ is basically $HT^{\leq 2}$.

We can pass between $HT^{=2}(0)$ and $HT^{=2}(n)$ by translating the coloring by 2n and then translating the solution back by n.

Thus every $HT^{=2}(n)$ is equivalent to $HT^{\leq 2}$.

HT is equivalent to the **Finite Union Theorem (FUT)**: For every coloring of the finite subsets of \mathbb{N} with finitely many colors, there are nonempty finite sets $F_0 < F_1 < F_2 < \cdots$ such that all nonempty finite unions of the F_i 's have the same color.

HT is equivalent to the **Finite Union Theorem (FUT)**: For every coloring of the finite subsets of \mathbb{N} with finitely many colors, there are nonempty finite sets $F_0 < F_1 < F_2 < \cdots$ such that all nonempty finite unions of the F_i 's have the same color.

Hirst considered the following variation, motivated by a lemma of Hilbert:

HIL: For every coloring of the finite subsets of \mathbb{N} with finitely many colors, there are distinct nonempty finite sets F_0, F_1, F_2, \ldots such that all nonempty finite unions of the F_i 's have the same color.

HT is equivalent to the **Finite Union Theorem (FUT)**: For every coloring of the finite subsets of \mathbb{N} with finitely many colors, there are nonempty finite sets $F_0 < F_1 < F_2 < \cdots$ such that all nonempty finite unions of the F_i 's have the same color.

Hirst considered the following variation, motivated by a lemma of Hilbert:

HIL: For every coloring of the finite subsets of \mathbb{N} with finitely many colors, there are distinct nonempty finite sets F_0, F_1, F_2, \ldots such that all nonempty finite unions of the F_i 's have the same color.

Thm (Hirst). HIL \leftrightarrow RT¹.

Thus HIL is computably true (though not quite provable in RCA₀).

Let P be a version of HT.

 $\mathbf{P}_{\mathbf{k}}$ is P restricted to *k*-colorings.

Let P be a version of HT.

 P_k is P restricted to *k*-colorings.

Let $\lambda(n)$ be the least exponent of *n* base 2, and let $\mu(n)$ be the greatest exponent of *n* base 2.

 $S \subseteq \mathbb{N}$ satisfies apartness if for all m < n in S, we have $\mu(m) < \lambda(n)$.

P with apartness is P with the extra condition that the solution satisfy apartness.

Let P be a version of HT.

 P_k is P restricted to *k*-colorings.

Let $\lambda(n)$ be the least exponent of *n* base 2, and let $\mu(n)$ be the greatest exponent of *n* base 2.

 $S \subseteq \mathbb{N}$ satisfies apartness if for all m < n in S, we have $\mu(m) < \lambda(n)$.

P with apartness is P with the extra condition that the solution satisfy apartness.

Thinking of HT as FUT makes apartness natural.

 HT_k and HT_k with apartness are equivalent to FUT_k and hence to each other.

1. $HT_k^{\leq n}$ with apartness is equivalent to $FUT_k^{\leq n}$, and also for =n.

- 1. $HT_k^{\leq n}$ with apartness is equivalent to $FUT_k^{\leq n}$, and also for =n.
- 2. $HT_{2k}^{\leq n}$ implies $HT_k^{\leq n}$ with apartness.

- 1. $HT_k^{\leq n}$ with apartness is equivalent to $FUT_k^{\leq n}$, and also for =n.
- 2. $HT_{2k}^{\leq n}$ implies $HT_k^{\leq n}$ with apartness.
- 3. $HT_2^{\leq 2}$ with apartness implies ACA₀.
- 4. $HT_4^{\leq 2}$ implies ACA₀.

- 1. $HT_k^{\leq n}$ with apartness is equivalent to $FUT_k^{\leq n}$, and also for =n.
- 2. $HT_{2k}^{\leq n}$ implies $HT_k^{\leq n}$ with apartness.
- 3. $HT_2^{\leq 2}$ with apartness implies ACA₀.
- 4. $HT_4^{\leq 2}$ implies ACA₀.
- 5. For $n \ge 3$, $HT_k^{=n}$ with apartness is equivalent to ACA₀.

- 1. $HT_k^{\leq n}$ with apartness is equivalent to $FUT_k^{\leq n}$, and also for =n.
- 2. $HT_{2k}^{\leq n}$ implies $HT_k^{\leq n}$ with apartness.
- 3. $HT_2^{\leq 2}$ with apartness implies ACA₀.
- 4. $HT_4^{\leq 2}$ implies ACA₀.
- 5. For $n \ge 3$, $HT_k^{=n}$ with apartness is equivalent to ACA₀.
- Thm (Dzhafarov, Jockusch, Solomon, and Westrick).
 - 1. $HT_3^{\leq 3}$ implies ACA₀.
 - 2. $HT_2^{\leq 2}$ implies the stable version of RT_2^2 over $B\Sigma_2^0$.